

The distribution of zero forms in inflectional morphology

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Background

Zero marking in morphology

- ontological and theoretical status of zero forms / zero morphs (Garcia and van Putte 1989; Jakobson 1939; Lemaréchal 1997; Mel'cuk 2002)
- problems: assumption of more structure than we have evidence for (Anderson 1992; Blevins 2016; Pullum and Zwicky 1991)

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Zero marking in typology

- **form-frequency effects** (Greenberg 1966; Croft 2003; Bybee 2011; Diessel 2019; Song 2018)
more frequent expressions tend to have shorter or zero forms than comparable less frequent expressions

- ☞ **coding efficiency** (Haspelmath 2008a; Haspelmath 2008b; Haspelmath 2021)
- ☞ fairly weak crosslinguistic basis / evidence (Guzmán Naranjo and Becker 2021a; Seržant and Moroz 2022; Stolz and Levkovich 2019; Bickel et al. 2015; Cysouw 2003; Siewierska 2010)

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Aim of this presentation

- assess the crosslinguistic distribution of zero forms in inflection morphology
- re-evaluate coding efficiency as an explanation of zero forms

Towards a definition of zero forms

- I will talk about zero forms (not markers)
- zero forms as a descriptive shorthand for the absence of material exponence of a given morphosyntactic function (Stolz and Levkovich 2019)
- ☞ the absence of an overt marker for a morphosyntactic function in opposition to overt marking in the same inflection paradigm

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 - ☞ the absence of an overt marker for a morphosyntactic function in opposition to overt marking in the same inflection paradigm
-
- word and paradigm approach (no linear order problems)
 - morphological analyses are based on the paradigmatic relation between different word forms, representing the different morphosyntactic functions a given word can have

Towards a definition of zero forms

stems as longest common substrings

- the phonetic material that is shared by all forms of a lexeme in its inflectional paradigm

markers as the remaining phonetic material

- the additional material of the form in that cell

zero as the use of stems in a specific morphosyntactic function

- if there is no phonetic material in addition to the stem in the form of a cell of the paradigm

 **zero form**

Dataset and segmentation

UniMorph database (McCarthy et al. 2020)

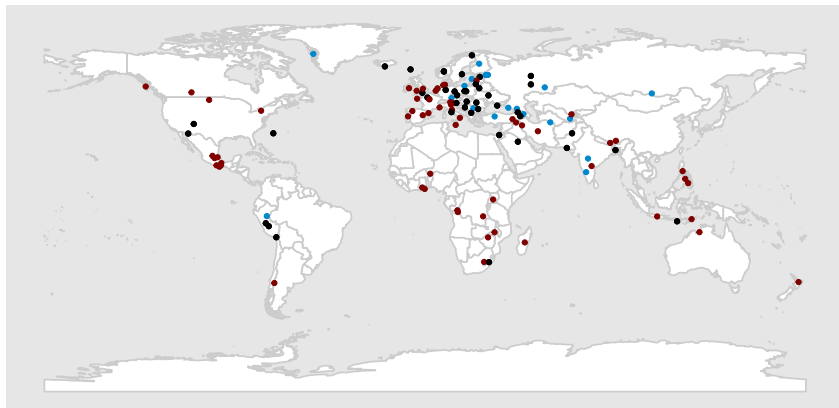
+

phonological transcription of some languages with *Epitran*
(Mortensen, Dalmia, and Littell 2018)

+

extraction of stems and markers with *Paradigma*
(Beniamine and Guzmán Naranjo 2021; Guzmán Naranjo and Becker 2021a)

Dataset



part of speech ● both ● noun ● verb

nouns : 61 languages

verbs : 104 languages

141 languages in total

Examples: French

cell	form	stem	marker
PRS.IND.1SG	alym	alym	-
PRS.IND.2SG	alym	alym	-
PRS.IND.3SG	alym	alym	-
PRS.IND.1PL	alymɔ̃n	alym	-ɔ̃n
PRS.IND.2PL	alymɛz	alym	-ɛz
PRS.IND.3PL	alym	alym	-
PRS.COND.1SG	alymɛrɛ	alym	-ɛrɛ
PRS.COND.2SG	alymɛrɛ	alym	-ɛrɛ
PRS.COND.3SG	alymɛrɛ	alym	-ɛrɛ
PRS.COND.1PL	alymɛrjɔ̃n	alym	-ɛrjɔ̃n
PRS.COND.2PL	alymɛrjɛz	alym	-ɛrjɛz
PRS.COND.3PL	alymɛraj	alym	-ɛraj
PRS.SUBJ.1SG	alym	alym	-
PRS.SUBJ.2SG	alym	alym	-
PRS.SUBJ.3SG	alym	alym	-
PRS.SUBJ.1PL	alymjɔ̃n	alym	-jɔ̃n
PRS.SUBJ.2PL	alymjɛz	alym	-jɛz
PRS.SUBJ.3PL	alym	alym	-

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PRS.IND.2PL	alym ɛz	alym	-ɛz
PRS.IND.3PL	alym	alym	-
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PRS.COND.2SG	alym ɛrɛ	alym	-ɛrɛ
PRS.COND.3SG	alym ɛrɛ	alym	-ɛrɛ
PRS.COND.1PL	alym ɛrjɔ̃n	alym	-ɛrjɔ̃n
PRS.COND.2PL	alym ɛrjɛz	alym	-ɛrjɛz
PRS.COND.3PL	alym ɛraj	alym	-ɛraj
PRS.SUBJ.1SG	alym	alym	-
PRS.SUBJ.2SG	alym	alym	-
PRS.SUBJ.3SG	alym	alym	-
PRS.SUBJ.1PL	alym jɔ̃n	alym	-jɔ̃n
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PRS.COND.2SG	alym ɛrɛ	alym	-ɛrɛ
PRS.COND.3SG	alym ɛrɛ	alym	-ɛrɛ
PRS.COND.1PL	alym ɛrjɔ̃n	alym	-ɛrjɔ̃n
PRS.COND.2PL	alym ɛrjɛz	alym	-ɛrjɛz
PRS.COND.3PL	alym ɛraj	alym	-ɛraj
PRS.SUBJ.1SG	alym	alym	-
PRS.SUBJ.2SG	alym	alym	-
PRS.SUBJ.3SG	alym	alym	-
PRS.SUBJ.1PL	alym jɔ̃n	alym	-jɔ̃n
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PRS.SUBJ.3PL	alym	alym	-

Examples: German

cell	<i>Klos</i> 'dumpling'			<i>Abend</i> 'evening'			<i>Kreuz</i> 'cross'		
	form	stem	marker	form	stem	marker	form	stem	marker
NOM.SG	klos	kls	-o-	abənt	abən	-t	kroyt̩s	kroyt̩s	-
ACC.SG	klos	kls	-o-	abənt	abən	-t	kroyt̩s	kroyt̩s	-
DAT.SG	klos	kls	-o-	abənt	abən	-t	kroyt̩s	kroyt̩s	-
GEN.SG	kloses	kls	-o-es	abəndes	abən	-des	kroyt̩ses	kroyt̩s	-es
NOM.PL	kləsə	kls	-ø-ə	abəndə	abən	-de	kroyt̩sə	kroyt̩s	-ə
ACC.PL	kləsə	kls	-ø-ə	abəndə	abən	-de	kroyt̩sə	kroyt̩s	-ə
DAT.PL	kləsən	kls	-ø-ən	abəndən	abən	-den	kroyt̩sən	kroyt̩s	-ən
GEN.PL	kləsə	kls	-ø-ə	abəndə	abən	-de	kroyt̩sə	kroyt̩s	-ə

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	form	stem	marker	form	stem	marker	form	stem	marker
NOM.SG	klus	klus	-o-	abənt	abən	-t	kroyts̃	kroyts̃	-
ACC.SG	klus	klus	-o-	abənt	abən	-t	kroyts̃	kroyts̃	-
DAT.SG	klus	klus	-o-	abənt	abən	-t	kroyts̃	kroyts̃	-
GEN.SG	kluses	klus	-o-es	abəndes	abən	-des	kroyts̃es	kroyts̃	-es
NOM.PL	klusə	klus	-ø-ə	abəndə	abən	-de	kroyts̃ə	kroyts̃	-ə
ACC.PL	klusə	klus	-ø-ə	abəndə	abən	-de	kroyts̃ə	kroyts̃	-ə
DAT.PL	klusən	klus	-ø-ən	abəndən	abən	-den	kroyts̃ən	kroyts̃	-ən
GEN.PL	klusə	klus	-ø-ə	abəndə	abən	-de	kroyts̃ə	kroyts̃	-ə

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NOM.SG	klos	kls	-o-	abə nt	abən	-t	kroyt̩s̩	kroyt̩s̩	-
ACC.SG	klos	kls	-o-	abə nt	abən	-t	kroyt̩s̩	kroyt̩s̩	-
DAT.SG	klos	kls	-o-	abə nt	abən	-t	kroyt̩s̩	kroyt̩s̩	-
GEN.SG	kloses	kls	-o-es	abə ndes	abən	-des	kroyt̩ ses	kroyt̩s̩	-es
NOM.PL	kl̩sə	kls	-ø-ə	abə ndə	abən	-de	kroyt̩ sə	kroyt̩s̩	-ə
ACC.PL	kl̩sə	kls	-ø-ə	abə ndə	abən	-de	kroyt̩ sə	kroyt̩s̩	-ə
DAT.PL	kl̩sən	kls	-ø-ən	abə ndən	abən	-den	kroyt̩ sən	kroyt̩s̩	-ən
GEN.PL	kl̩sə	kls	-ø-ə	abə ndə	abən	-de	kroyt̩ sə	kroyt̩s̩	-ə

Examples: Aymara

cell	<i>anu</i> 'dog'	<i>chaski</i> 'messenger'	<i>luk'ana</i> 'finger'
NOM.SG	anu	chaski	luk'ana
ACC.SG	an	chask	luk'an
GEN.SG	anuna	chaskina	luk'anana
COM.SG	anumpi	chaskimpi	luk'anampi
BEN.SG	anutaki	chaskitaki	luk'anataki
PRP.SG	anulayku	chaskilayku	luk'analayku
ABL.SG	anuta	chaskita	luk'anata
ALL.SG	anuru	chaskiru	luk'anaru
INESS.SG	anpacha	chaskpacha	luk'anpacha
EQTV.SG	anjama	chaskjama	luk'anjama
INTER.SG	anupura	chaskipura	luk'anapura
PROP.SG	anuni	chaskini	luk'anani
TERM.SG	anukama	chaskikama	luk'anakama
VERS.SG	anukata	chaskikata	luk'anakata

Examples: Aymara

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GEN.SG	anuna	chaskina	luk'anana
COM.SG	anumpi	chaskimpi	luk'anampi
BEN.SG	anutaki	chaskitaki	luk'anataki
PRP.SG	anulayku	chaskilayku	luk'analayku
ABL.SG	anuta	chaskita	luk'anata
ALL.SG	anuru	chaskiru	luk'anaru
INESS.SG	anpacha	chaskpacha	luk'anpacha
EQTV.SG	anjama	chaskjama	luk'anjama
INTER.SG	anupura	chaskipura	luk'anapura
PROP.SG	anuni	chaskini	luk'anani
TERM.SG	anukama	chaskikama	luk'anakama
VERS.SG	anukata	chaskikata	luk'anakata

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GEN.SG	anuna	chaskina	luk'anana
COM.SG	anumpi	chaskimpi	luk'anampi
BEN.SG	anutaki	chaskitaki	luk'anataki
PRP.SG	anulayku	chaskilayku	luk'analayku
ABL.SG	anuta	chaskita	luk'anata
ALL.SG	anuru	chaskiru	luk'anaru
INESS.SG	anpacha	chaskpacha	luk'anpacha
EQTV.SG	anjama	chaskjama	luk'anjama
INTER.SG	anupura	chaskipura	luk'anapura
PROP.SG	anuni	chaskini	luk'anani
TERM.SG	anukama	chaskikama	luk'anakama
VERS.SG	anukata	chaskikata	luk'anakata

Examples: Georgian

cell	t'exs 'break'	k'vecs 'cut off'	gaacnobs 'introduce'	ak'eteb's 'make'
PRS.1SG	vt'ex	vk'vec	vacnob	vak'eteb
PRS.2SG	t'ex	k'vec	cnob	ak'eteb
PRS.3SG	t'exs	k'vecs	cnobs	ak'eteb's
PRS.1PL	vt'ext	vk'vect	vcnobt	vak'etebt
PRS.2PL	t'ext	k'vect	cnobt	ak'etebt
PRS.3PL	t'exen	k'vecen	cnoben	ak'eteben
IMPERF.1SG	vt'exdi	vk'vecdi	vcnobdi	vak'etebdi
IMPERF.2SG	t'exdi	k'vecdi	cnobdi	ak'etebdi
IMPERF.3SG	t'exda	k'vecda	cnobda	ak'etebda
IMPERF.1PL	vt'exdit	vk'vecdit	vcnobdit	vak'etebdit
IMPERF.2PL	t'exdit	k'vecdit	cnobdit	ak'etebdit
IMPERF.3PL	t'exdnen	k'vecdnen	cnobden	ak'etebdnen
FUT.1SG	gavt'ex	ševk'vec	gavcnob	gavak'eteb
FUT.2SG	gat'ex	šek'vec	gacnob	gaak'eteb
FUT.3SG	gat'exs	šek'vecs	gacnobs	gaak'eteb's
FUT.1PL	gavt'ext	ševk'vect	gavacnobt	gavak'etebt
FUT.2PL	gat'ext	šek'vect	gacnobt	gaak'etebt
FUT.3PL	gat'exen	šek'vecen	gacnoben	gaak'eteben
AOR.1SG	gavt'exe	ševk'vece	gavcne	gavak'ete
AOR.2SG	gat'exe	šek'vece	gacne	gaak'ete
AOR.3SG	gat'exa	šek'veca	gacna	gaak'eta
AOR.1PL	gavt'exet	ševk'vecet	gavcnet	gavak'etet
AOR.2PL	gat'exet	šek'vecet	gacnet	gaak'etet
AOR.3PL	gat'exes	šek'veces	gacnes	gaak'etes

Examples: Georgian

cell	t'exs 'break'	k'vecs 'cut off'	gaacnobs 'introduce'	ak'eteb's 'make'
PRS.1SG	vt'ex	vk'vec	vacnob	vak'eteb
PRS.2SG	t'ex	k'vec	cnob	ak'eteb
PRS.3SG	t'exs	k'vecs	cnobs	ak'eteb's
PRS.1PL	vt'ext	vk'vect	vcnobt	vak'etebt
PRS.2PL	t'ext	k'vect	cnobt	ak'etebt
PRS.3PL	t'exen	k'vecen	cnoben	ak'eteben
IMPERF.1SG	vt'exdi	vk'vecdi	vcnobdi	vak'etebdi
IMPERF.2SG	t'exdi	k'vecdi	cnobdi	ak'etebdi
IMPERF.3SG	t'exda	k'vecda	cnobda	ak'etebda
IMPERF.1PL	vt'exdit	vk'vecdit	vcnobdit	vak'etebdit
IMPERF.2PL	t'exdit	k'vecdit	cnobdit	ak'etebdit
IMPERF.3PL	t'exdnen	k'vecdnen	cnobden	ak'etebdnen
FUT.1SG	gavt'ex	ševk'vec	gavcnob	gavak'eteb
FUT.2SG	gat'ex	šek'vec	gacnob	gaak'eteb
FUT.3SG	gat'exs	šek'vecs	gacnobs	gaak'eteb's
FUT.1PL	gavt'ext	ševk'vect	gavacnobt	gavak'etebt
FUT.2PL	gat'ext	šek'vect	gacnobt	gaak'etebt
FUT.3PL	gat'exen	šek'vecen	gacnoben	gaak'eteben
AOR.1SG	gavt'exe	ševk'vece	gavcne	gavak'ete
AOR.2SG	gat'exe	šek'vece	gacne	gaak'ete
AOR.3SG	gat'exa	šek'veca	gacna	gaak'eta
AOR.1PL	gavt'exet	ševk'vecet	gavcnet	gavak'etet
AOR.2PL	gat'exet	šek'vecet	gacnet	gaak'etet
AOR.3PL	gat'exes	šek'veces	gacnes	gaak'etes

Examples: Georgian

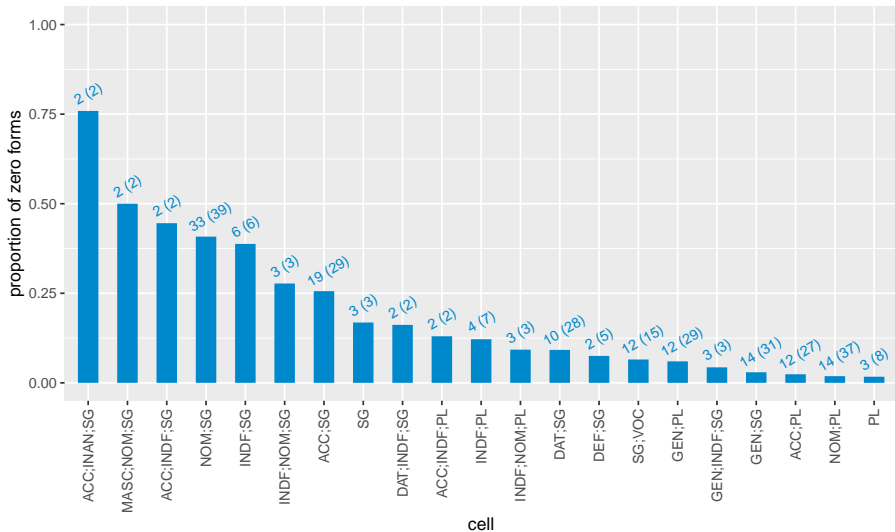
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PRS.2SG	t'ex	k'vec	cnob	ak'eteb
PRS.3SG	t'exs	k'vecs	cnobs	ak'eteb's
PRS.1PL	vt'ext	vk'vect	vcnobt	vak'etebt
PRS.2PL	t'ext	k'vect	cnobt	ak'etebt
PRS.3PL	t'exen	k'vecen	cnoben	ak'eteben
IMPERF.1SG	vt'exdi	vk'vecdi	vcnobdi	vak'etebdi
IMPERF.2SG	t'exdi	k'vecdi	cnobdi	ak'etebdi
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IMPERF.3PL	t'exdnen	k'vecdnen	cnobden	ak'etebdnen
FUT.1SG	gavt'ex	ševk'vec	gavcnob	gavak'eteb
FUT.2SG	gat'ex	šek'vec	gacnob	gaak'eteb
FUT.3SG	gat'exs	šek'vecs	gacnobs	gaak'eteb's
FUT.1PL	gavt'ext	ševk'vect	gavacnobt	gavak'etebt
FUT.2PL	gat'ext	šek'vect	gacnobt	gaak'etebt
FUT.3PL	gat'exen	šek'vecen	gacnoben	gaak'eteben
AOR.1SG	gavt'exe	ševk'vece	gavcne	gavak'ete
AOR.2SG	gat'exe	šek'vece	gacne	gaak'ete
AOR.3SG	gat'exa	šek'veca	gacna	gaak'eta
AOR.1PL	gavt'exet	ševk'vecet	gavcnet	gavak'etet
AOR.2PL	gat'exet	šek'vecet	gacnet	gaak'etet
AOR.3PL	gat'exes	šek'veces	gacnes	gaak'etes

Examples: Georgian

cell	t'exs 'break'	k'vecs 'cut off'	gaacnobs 'introduce'	ak'etebs 'make'
PRS.1SG	vt'ex	vk'vec	vacnob	vak'eteb
PRS.2SG	t'ex	k'vec	cnob	ak'eteb
PRS.3SG	t'exs	k'vecs	cnobs	ak'etebs
PRS.1PL	vt'ext	vk'vect	vcnobt	vak'etebt
PRS.2PL	t'ext	k'vect	cnobt	ak'etebt
PRS.3PL	t'exen	k'vecen	cnoben	ak'eteben
IMPERF.1SG	vt'exdi	vk'vecdi	vcnobdi	vak'etebdi
IMPERF.2SG	t'exdi	k'vecdi	cnobdi	ak'etebdi
IMPERF.3SG	t'exda	k'vecda	cnobda	ak'etebda
IMPERF.1PL	vt'exdit	vk'vecdit	vcnobdit	vak'etebdit
IMPERF.2PL	t'exdit	k'vecdit	cnobdit	ak'etebdit
IMPERF.3PL	t'exdnen	k'vecdnen	cnobden	ak'etebdnen
FUT.1SG	gavt'ex	ševk'vec	gavcnob	gavak'eteb
FUT.2SG	gat'ex	šek'vec	gacnob	gaak'eteb
FUT.3SG	gat'exs	šek'vecs	gacnobs	gaak'etebs
FUT.1PL	gavt'ext	ševk'vect	gavacnobt	gavak'etebt
FUT.2PL	gat'ext	šek'vect	gacnobt	gaak'etebt
FUT.3PL	gat'exen	šek'vecen	gacnoben	gaak'eteben
AOR.1SG	gavt'exe	ševk'vece	gavcne	gavak'ete
AOR.2SG	gat'exe	šek'vece	gacne	gaak'ete
AOR.3SG	gat'exa	šek'veca	gacna	gaak'eta
AOR.1PL	gavt'exet	ševk'vecet	gavcnet	gavak'etet
AOR.2PL	gat'exet	šek'vecet	gacnet	gaak'etet
AOR.3PL	gat'exes	šek'veces	gacnes	gaak'etes

Zero forms in nominal paradigms

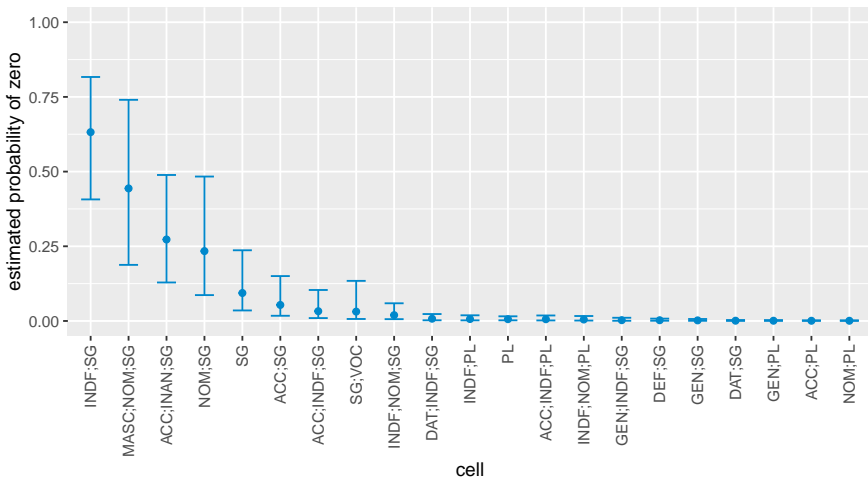
Cells with zero forms in nominal paradigms



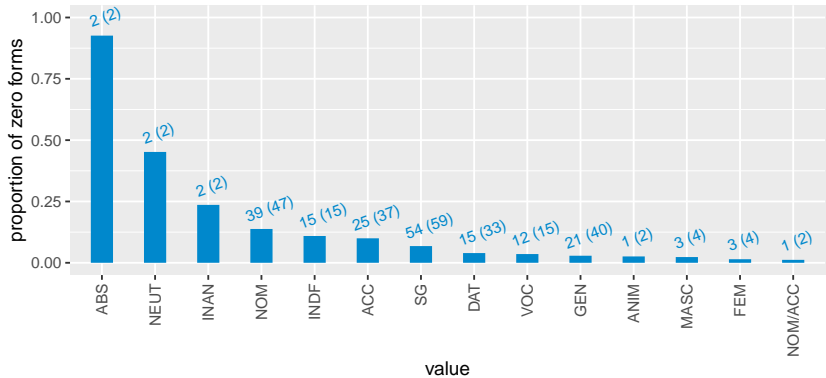
Cells with zero forms in nominal paradigms

Conditional effects

Bayesian logistic regression + phylogenetic regression term as phylogenetic control



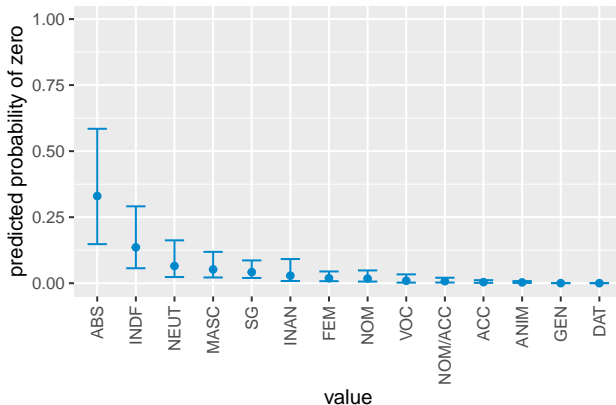
Values with zero forms in nominal paradigms



Values with zero forms in nominal paradigms

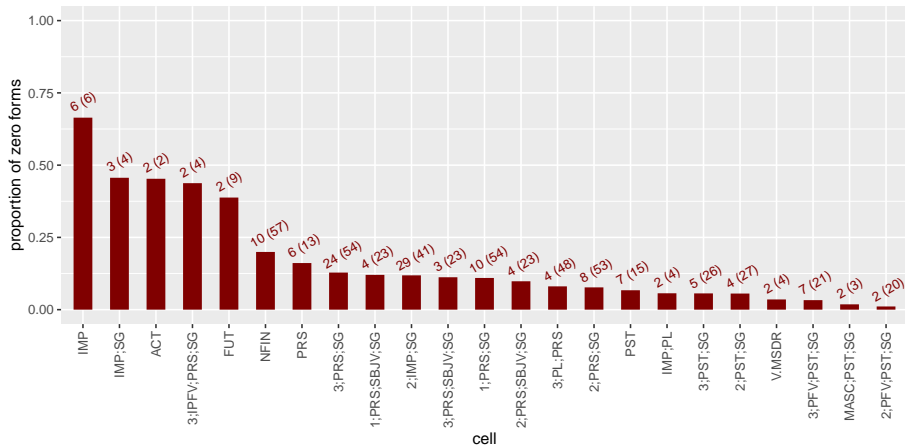
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Zero forms in verbal paradigms

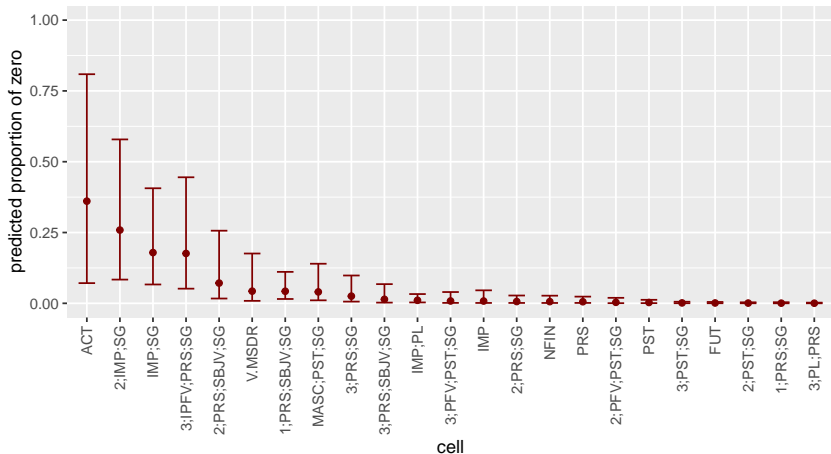
Cells with zero forms in verbal paradigms



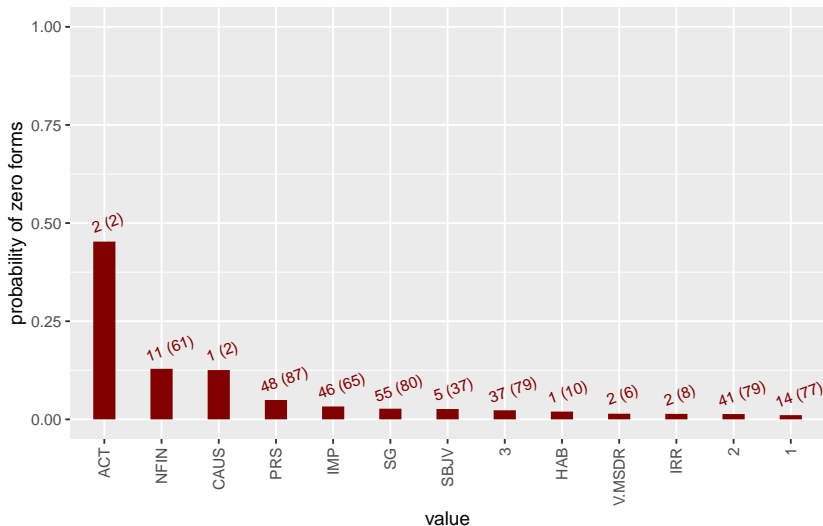
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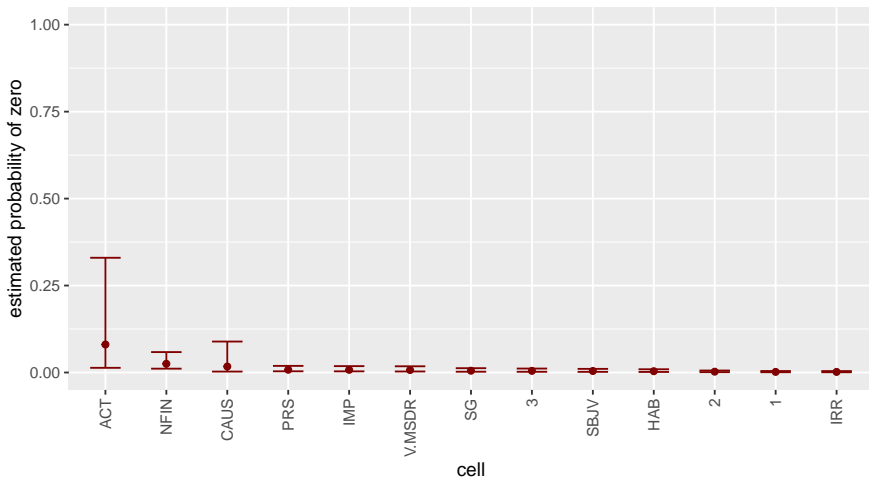
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Implications

Zero forms and coding efficiency

Coding efficiency does not fully explain the distribution of zero forms.

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 - across lexemes within languages
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- (despite zero forms being overall very common in inflectional morphology)

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 - across lexemes within languages
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- (despite zero forms being overall very common in inflectional morphology)
- in line with previous work: Bickel et al. (2015), Cysouw (2003), Guzmán Naranjo and Becker (2021a), Seržant and Moroz (2022), Siewierska (2010), and Stolz and Levkovych (2019)

No form-frequency effects at all?

- the values with the strongest association with zero forms tend to be more frequent than other values of the feature

Nominal features in the Universal Dependencies					
		N value	N total	N langs	prop value
number	singular	3789088	5144632	80	0.74
	plural	1347473	5152931	88	0.26
	dual	5472	1080628	11	0.01
case	nominative	887053	3166314	57	0.28
	accusative	708587	2868514	46	0.25
	absolutive	9801	32220	5	0.30
	ergative	1984	22465	3	0.09
	dative	234759	3182335	44	0.09
	genitive	862330	3070696	56	0.28
	vocative	6205	1849520	24	0.003
definiteness	definite	384650	971411	17	0.40
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mood	indicative	1216937	1329281	75	0.92
	imperative	37822	1331878	77	0.03
person	1	132245	1232404	78	0.11
	2	68328	1232234	73	0.06
	3	1021191	1231610	76	0.83
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Zero forms as a diachronic by-product

Processes that lead to the development of zero forms

- differential phonetic reduction
 - differential non-development
 - differential morphosyntactic reduction
 - reanalysis
-
- zero forms rather seem to be a diachronic by-product of other processes (cf. Cristofaro 2019; Cristofaro 2021)
 - those processes may be accounted for by extra-linguistic factors such as efficiency
 - but they may depend on very language-specific and construction-specific factors

Conclusion & outlook

- although zero forms are common in both nominal and verbal inflection morphology, no strong robust patterns emerge across lexemes and languages
- there is no morphosyntactic cell or value that would show a robust preference for zero marking
- this is not compatible with an efficiency-based explanation commonly used in typology
- zero forms rather appear to be the by-product of various independent diachronic processes

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- zero forms rather appear to be the by-product of various independent diachronic processes

To do

- test the distribution of zero forms in naturalistic corpus data
- 👉 DoReCo data
- better definition of zero forms:
with usage frequencies, forms can be weighted for determining the longest common substring

Thank you!

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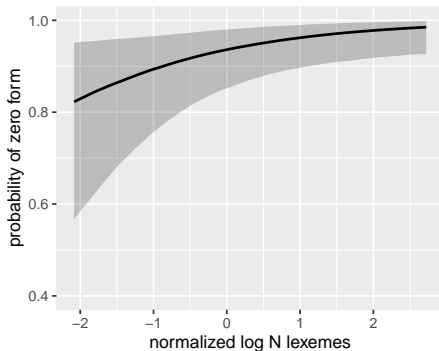
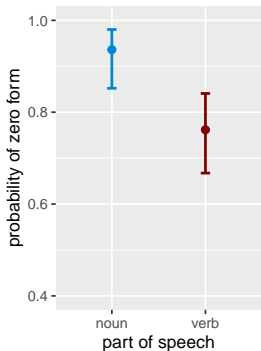
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- I fitted a series of Bayesian logistic regression models with phylogenetic regression term as a phylogenetic control (cf. Guzmán Naranjo and Becker 2021b)
- the best-performing model includes pos and N(lexemes) as fixed effects

The probability of zero forms

Conditional effects



- zero forms are indeed more likely to occur in nominal paradigms than in verbal paradigms

Zero forms in nominal vs. verbal paradigms

Suffixing vs. prefixing preference?

- nouns have a stronger suffixing preference than verbs (Bybee, Pagliuca, and Perkins 1990)
- verbal agreement markers with a smaller number of markers (≤ 6) have a prefixing preference (Cysouw 2009)
- phonetic material may be reduced at higher rates at the end of words (Bybee, Pagliuca, and Perkins 1990; Hall 1988)
- word-initial syllables are more prominent than other syllables (Beckman 1998; Cho, McQueen, and Cox 2007; Keating et al. 2003; Kim 2004; Smith 2005)
- word-initial consonants tend to be strengthened and lengthened (Cho and Keating 2001; Cho and Keating 2009; Fougeron 2001; White, Benavides-Varela, and Mády 2020)
- prefixes may be more time-stable than suffixes (Bybee, Pagliuca, and Perkins 1990)